



01/04/02

 JCE32 U.S. PTO  
 10/05/23  
 84/99/02

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	3781-002-27
		First Inventor or Application Identifier	Yong Liang CHU
Title		COMPOUNDS FOR DELIVERING SUBSTANCES INTO CELLS	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> (Submit an original and a duplicate for fee processing) <input checked="" type="checkbox"/> Applicant claims small entity status.	<b>ACCOMPANYING DOCUMENTS</b>
2. <input checked="" type="checkbox"/> <b>Specification</b> Total Pages <b>31</b>	6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
3. <input checked="" type="checkbox"/> <b>Drawing(s) (35 U.S.C. 113)</b> Total Sheets <b>29</b>	7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
4. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> Total Pages <b>3</b>	8. <input type="checkbox"/> English Translation Document (if applicable)
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)	10. <input type="checkbox"/> Preliminary Amendment
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:	12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
17. Amend the specification by inserting before the first line the sentence: <input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on	13. <input type="checkbox"/> Request for Priority
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed	14. <input type="checkbox"/> List of Inventors' Names and Addresses
15. <input type="checkbox"/> Other:	
<b>18. CORRESPONDENCE ADDRESS</b> Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085	

Name	Steven B. Keller	Registration No.	30,073
Signature		Date	JAN. 4, 2002
Name	Christopher W. Raimund	Registration No.	47,258
		Telephone	202-861-3900

# FEE TRANSMITTAL

Docket No. 3781-002-27  
 Serial No. New Application  
 Filing Date Herewith  
 Inventor(s) Yong Liang CHU, et al.  
 Group Art Unit  
 Examiner

TOTAL AMOUNT OF PAYMENT \$554.00

1. ☒ Applicant claims small entity status.
- ☐ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)			
105	130	205	65	Surcharge-late filing fee or oath		
127	50	227	25	Surcharge-late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2520	147	2520	Ex parte reexam fee		
115	110	215	55	1-mo. ext. of time		
116	400	216	200	2-mo. ext. of time		
117	620	217	460	3-mo. ext. of time		
118	1440	218	720	4-mo. ext. of time		
128	980	228	980	5-mo. ext. of time		
119	320	219	160	Notice of Appeal		

## FEE CALCULATION

### BASIC FILING FEE

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
103	740	201	370	Utility filing fee	\$370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$370.00

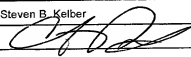
### 2. EXTRA CLAIM FEES

tot. claims	36	-	20*	=	16	x	\$9	=	144
ind. claims	1	-	3*	=	0	x	\$42	=	0
<input type="checkbox"/>	Multiple Dependent Claims				\$140	=			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	*Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

\* or number previously paid, if greater. For Reissues, see above

SUBTOTAL (3) \$40.00

Name	Steven B. Keiber	Registration No.	30,073
Signature		Date	JAN. 4, 2002
Name	Christopher W. Raimund	Registration No.	47,258
Telephone	202-861-3900		